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UTILITY  
PATENT APPLICATION  
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	09820.168CON
First Inventor	GELLMAN, Samuel
Title	Oligomers & Polymers.
Express Mail Label No.	EV 314814808 US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

## ADDRESS TO:

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

1. ☐ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 51]  
(preferred arrangement set forth below)
- Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the invention
  - Brief Summary of the invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 10]
5. Oath or Declaration [Total Sheets 2]
- a. ☐ Newly executed (original or copy)
- b. ☒ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)
- i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
name in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ Paper
- c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☒ Preliminary Amendment
14. ☐ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☒ Other: check in the amount of \$385

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No.: 09/883,579

Prior application information:


Examiner BakerArt Unit: 1627

For CONTINUATION OR DIVISIONAL APPS only; The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☐ Customer Number:  OR ☒ Correspondence address below

Name	Joseph T. Leone				
Address	DeWitt Ross & Stevens S.C.				
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Name (Print/Type)	Joseph T. Leone	Registration No. (Attorney/Agent)	37,170
Signature		Date	21 JAN 2004

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicant(s): GELLMAN et al.**

**Attorney Docket No.: 09820.168CON**

**Filed: Simultaneously Herewith**

**Title: OLIGOMERS AND POLYMERS OF DI-SUBSTITUTED CYCLIC IMINO  
CARBOXYLIC ACIDS**

**TRANSMITTAL OF NON-PROVISIONAL PATENT APPLICATION**  
**PURSUANT TO 37 C.F.R. §1.53(b)**

**Mail Stop PATENT APPLICATION**

**Commissioner for Patents**

**P.O. Box 1450**

**Alexandria, VA 22313-1450**

**To the Commissioner:**

This is a request for filing a **divisional** application pursuant to 37 C.F.R. §1.53(b) of prior co-pending application Serial No. 09/883,579, filed on 18 June 2001, which is a continuation-in-part of application Serial No. 09/592,756, filed 13 June 2000, which claims priority to provisional application Serial No. 60/138,972, filed 14 June 1999, in the name of Samuel H. Gellman and Bayard R. Huck and entitled: **OLIGOMERS AND POLYMERS OF DI-SUBSTITUTED CYCLIC IMINO CARBOXYLIC ACIDS**.

**Enclosed please find:**

- ☒ 43 sheets of specification
- ☒ 7 sheets of claims
- ☒ 10 sheets of drawings
- ☒ 1 sheet of Abstract
- ☒ 2 sheets of combined Declaration and Power of Attorney (executed)

**Also enclosed herewith is:**

- ☒ a preliminary amendment

**The inventor(s) in this application are:**

- ☒ the same as those named in the prior application.

**The filing fee due is to be based on the number of claims:**

- ☒ contained in the prior application as filed.

The fee has been calculated as shown below:

**CLAIMS**

For	No. Filed	No. Extra
Basic Fee		
Total Claims	14 - 20 =	
Indep Claims	2 - 3 =	
Multiple Dependent Claim Present		

**SMALL ENTITY**

Rate	Fee
	\$385
x \$9 =	\$
x \$43 =	\$
+ \$145 =	\$
Total	\$385

**OTHER THAN A  
SMALL ENTITY**

Rate	Fee
	\$ 770
x \$18 =	\$
x \$86 =	\$
+ \$290 =	\$
Total	\$

[X] A check for \$385 to cover the filing fee is enclosed.

[X] The Commissioner is hereby authorized to charge the following additional fees which may be required by this paper and during the entire pendency of this application to Account No. 18-2055.

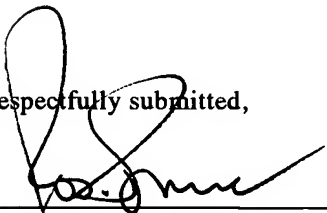
- [X] 37 CFR 1.16(a), (f) or (g) (filing fees)
- [X] 37 CFR 1.16(b), (c) and (d) (presentation of extra claims)
- [X] 37 CFR 1.16(e) (surcharge for filing the basic fee and/or declaration on a date later than the filing date of the application)
- [X] 37 CFR 1.17 (application processing fees)
- [ ] 37 CFR 1.18 (issue fee at or before mailing of Notice of Allowance, pursuant to 37 CFR 1.311(b))

Please credit any overpayments to credit Account No. 18-2055.

Please address all future communications to:

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Respectfully submitted,

  
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I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail - Post Office to Addressee, in an envelope addressed to:

Mail Stop PATENT APPLICATION  
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Express Mail Label No.: EV314814808US

Date of Deposit: 1-21-04

Signature: Maureen Layton